

Civil society parallel reports on UNCAC implementation

UNCAC Coalition support

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Exchange of experiences on parallel reporting

Civil society parallel reports

- The UNCAC Coalition offers **technical support, as well as limited financial support**, to CSOs that want to use the UNCAC implementation review to advance their mission
- Parallel report on the implementation of Chapter II (preventive measures) and Chapter V (asset recovery) in your country
- 40-60 pages depending on scope
- Around 6 months in total: outline, first draft → comments, second draft → comments, blog article, publication

Why do a civil society parallel report?

- Provides a **key independent perspective on national UNCAC implementation;**
- Complement government reports by providing supplementary information, **filling gaps and taking a more critical and comprehensive perspective on UNCAC implementation;**
- **Supplement the recommendations in UNCAC review reports,** thus providing additional impetus for reform efforts;
- Make an **essential contribution to** national and international dialogue and **advocacy on UNCAC implementation** and anti- corruption efforts more generally.

Support we can offer



Technical support

- Guidance materials (guidelines, report templates) for researchers
- Support for the researcher via email/Zoom
- Peer review / Policy advice
- Editing
- Translation
- Graphic design for the report

Financial support

- Limited financial support for the production of a parallel report (in-house/researcher) & other advocacy activities depending on the scope
- We give preference to [ODA recipient countries](#)

Guidance documents for parallel reports

Preventive Anti-Corruption Body or Bodies (UNCAC Art. 6 and 13.2)



Summary of relevant UNCAC requirements for each State Party:

- Shall ensure the existence of a body or bodies, as appropriate, that prevent corruption by such means as:
 - a) implementing the policies referred to in Article 5 and overseeing and coordinating the implementation of those policies;
 - b) increasing and disseminating knowledge about the prevention of corruption (Art. 6.1);
- Shall grant the body or bodies the necessary independence to enable the body or bodies to carry out its or their functions effectively and free from any undue influence. The necessary material resources and specialized staff, as well as the training that such staff may require to carry out their functions, should be provided (Art. 6.2);
- Shall take appropriate measures to ensure that the relevant anti-corruption bodies are known to the public (Art. 13.2).

What has been done to ensure compliance with these provisions?

Legal and Policy Framework

- ✓ What are the main body or bodies (e.g. agency, commission, organisation, department, national committee or secretariat) that prevent corruption, oversee and coordinate the implementation of anti-corruption policies?
- ✓ Are there structures in place to effectively deal with grievances and complaints from members of the public (i.e. an anti-corruption commission, ethics office, auditor general's office, ombudsman office, central procurement agency, etc.)?

Implementation / Application

- ✓ Besides a legal framework in place, do the bodies preventing corruption have the necessary independence and autonomy in practice?
 - Do the legal safeguards of the bodies' independence, aimed at enabling them to carry out their functions effectively and to protect them from any undue influence, exist and function in practice?
 - Are the procedures for appointment and dismissal of the head or heads of the bodies, as well as the procedures for the recruitment and selection of specialised staff adequately implemented?
 - Are appointments of heads made through a process that ensures the head's apolitical stance, impartiality, neutrality, integrity and competence in practice?
 - How is the legal framework which guarantees heads the security of tenure, and which specifies that heads can only be removed through a legally established procedure equivalent to the procedure for the removal of a key independent authority specially protected by law (such as the Chief Justice) implemented in practice?
 - Is the legal framework, which ensures continuity in the event of suspension, dismissal, resignation, retirement or end of tenure of the heads by delegating the powers to an appropriate official within the body within a reasonable period of time, until the appointment of a new head, implemented in practice?
 - Is the legal framework, which provides immunity for heads and employees of the bodies from civil and criminal proceedings for acts committed within the performance of their mandate, and protect them from malicious civil and criminal proceedings, implemented in practice?
- ✓ How do these bodies monitor and evaluate the implementation of a national anti-corruption strategy, action plan and other anti-corruption policies?

Report template

The Parallel Report consists of **several sections:**

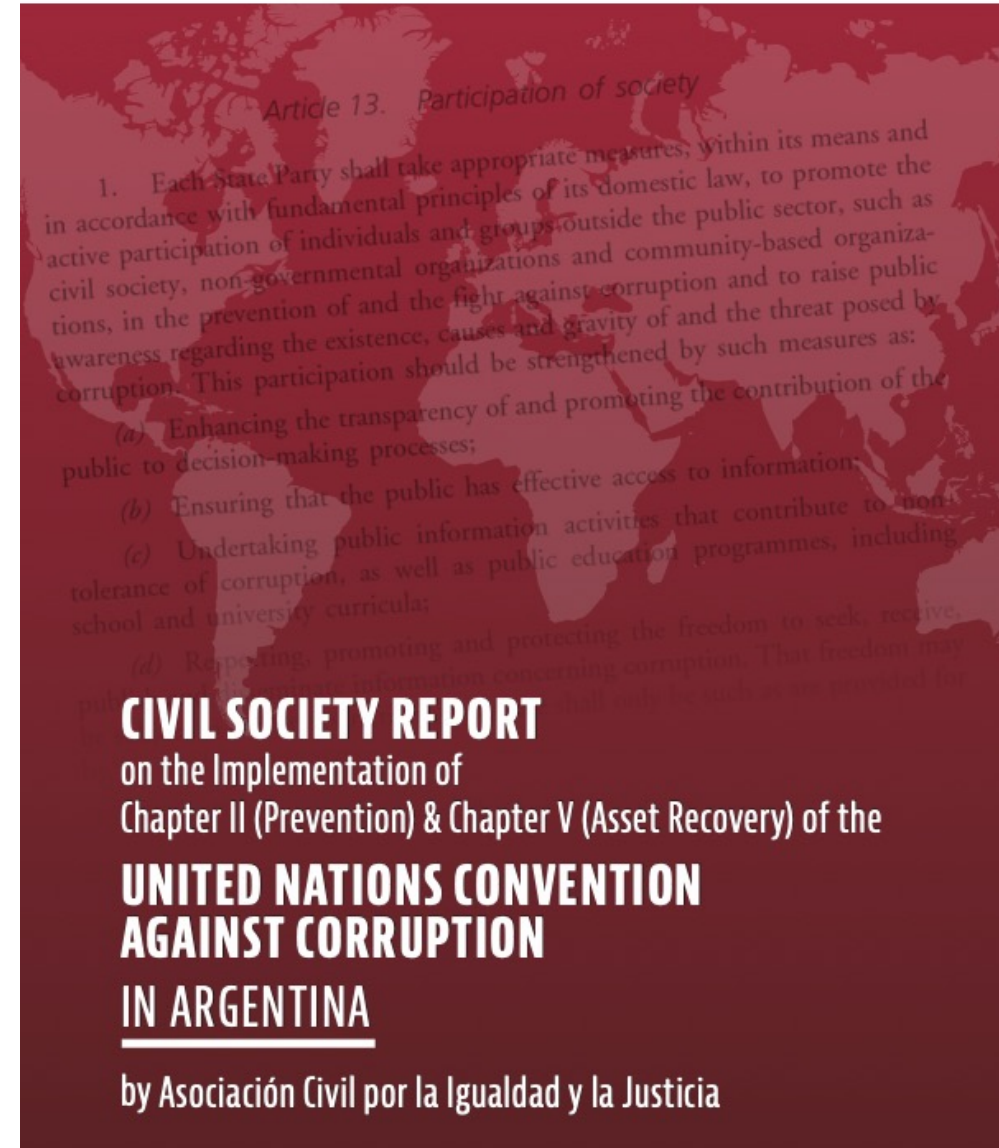
- Acknowledgements
- Table of Contents
- List of Abbreviations
- List of Persons Consulted
- Introduction
- Executive Summary
- Assessment of the Review Process
- Assessment of Implementation of Chapter II and Chapter V Provisions
- Recent Developments
- Recommendations
- Annex

Interviews and FOI requests

- Interviews with relevant stakeholders related to articles covered:
 - UNCAC focal point
 - National Anti-Corruption Agency
 - Institutions involved in oversight/implementation of codes of conduct of public officials, public procurement, access to information
 - Possibly private sector
 - Civil society stakeholders, academia
 - Other relevant stakeholders

Added value of the reports

- Go beyond the UNCAC (international best practice) and focus on implementation
- Best practices and deficiencies
- Showcasing case studies
- Provide specific recommendations
- Reports are both for a domestic and an international audience



How to use the reports?

- **for advocacy work** at the national level to push governments to fully implement the UNCAC into domestic law and practice, to highlight shortcomings and **provide recommendations for reforms**;
- **for follow-up advocacy activities** (with Coalition support – tbd);
- **to exert pressure** on national governments in the international forum of the UNCAC Conference of States Parties (CoSP), as well as in its subsidiary body, the UNCAC Implementation Review Group (IRG);

- **to influence the UNCAC peer reviewers** in areas of key importance that need to be addressed;
- As a **powerful instrument that helps inform activities of donors/organisations/countries providing technical assistance;**
- A **good way of building your organization's capacity** on the UNCAC and specific anti-corruption topics, and to **start a dialogue with government officials.**

How to apply?

- Check your country's [UNCAC review status](#)
- Requirements: independent CSO, focused on anti-corruption, research or policy work, research capacity
- Go to <https://uncaccoalition.org/support-for-cso-contributions-to-the-uncac-implementation-review/> and fill out the questionnaire
- Within 2 weeks, Danella will contact you with any follow-up questions, then set up a call to talk about expectations for the report, etc.
- Review the draft contract, sign it
- Start research process

=> All parallel reports on the UNCAC

<https://uncaccoalition.org/uncac-review/cso-review-reports/>

Open call for applications for support:

<https://uncaccoalition.org/support-for-cso-contributions-to-the-uncac-implementation-review/>

Any Questions or comments?

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