Civil Society Parallel Report Template

for Chapters II (Preventive Measures) & V (Asset Recovery)   
of the UN Convention against Corruption

***Version: 17 March 2022***

This report template is a reference for civil society organizations (CSOs) preparing parallel reports on national implementation of the UN Convention against Corruption’s (UNCAC) Chapter II on preventive measures and Chapter V on asset recovery. In order to allow for a comparison of civil society parallel reports of different countries, **please follow this report template as closely as possible**.

The UNCAC is the only universal binding anticorruption mechanism and has been ratified by 187 countries and the European Union. Its Implementation Review Mechanism (IRM) is a multi-stage peer review process involving the review of each State Party’s implementation of the UNCAC by two peers – one from the same UN region and one from another one. The review process is divided into two five-year cycles, with the first cycle (2010 – 2015) covering Chapters III and IV and the second cycle (2015 – June 2024) covering Chapters II and V. The second cycle was launched in November 2015 and is currently ongoing.[[1]](#footnote-1) It is expected to continue until at least into 2024, based on Decision 8/1 of the 8th session of the UNCAC Conference of States Parties, which extended the second cycle by three years. To find out when a country is scheduled to be reviewed and which documents they have published so far, please consult the country’s profile on UNODC’s website.[[2]](#footnote-2)

**About the UNCAC Coalition:**

The UNCAC Coalition is a global network of more than 350 civil society organizations in over 100 countries, committed to the monitoring and implementation of the UN Convention against Corruption (UNCAC).

*Website:* [https://uncaccoalition.org](https://uncaccoalition.org/) *– Sign up to our newsletter* [*here*](https://uncaccoalition.org/newsletter/)*!*

*Twitter: @*[*uncaccoalition*](https://twitter.com/uncaccoalition) *– Facebook:* [*https://www.facebook.com/uncaccoalition*](https://www.facebook.com/uncaccoalition)

**Contact:**

If you have any questions throughout the research and writing process, please do not hesitate to get in touch. The UNCAC Coalition’s Vienna Hub Team is happy to assist you in any way possible.

* Mathias Huter, *Managing Director*, [mathias.huter@uncaccoalition.org](mailto:mathias.huter@uncaccoalition.org)
* Danella Newman, *Project Manager,* [danella.newman@uncaccoalition.org](mailto:danella.newman@uncaccoalition.org)

**Structure**

*The Parallel Report consists of several sections: Acknowledgements, Table of Contents, Abbreviations, List of Persons Consulted, Introduction, Executive Summary, Assessment of the Review Process, Assessment of Implementation of Chapter II and Chapter V Provisions, Recent Developments, Recommendations and an Annex. Specific instructions and guidance are provided for each section in italics.*

*Please work* ***directly*** *within this document – the UNCAC Coalition will provide comments and make edits through ‘track changes’ in this same document, to facilitate the workflow as the report develops over several drafts.*

*This template is locked, which means that certain parts are automatically part of the report, with only the gray fields to be filled out, and others are open sections for you to input information into the template. Please double click on the gray fields to input information as necessary. Leave out the subsections and articles/policy areas that are not relevant to your report. We will edit those out at a later stage.*

# Acknowledgements

*Feel free to add any acknowledgements to co-workers, partners, donors, etc.*

With the aim of contributing to the national UNCAC review in country in its second cycle, this parallel report was written by organization, using the guidance materials and report template designed by the UNCAC Coalition and Transparency International. The production of this report was supported by the UNCAC Coalition, made possible with funding provided by the Norwegian Agency for Development Cooperation (Norad) and the Ministry of Foreign Affairs of Denmark (Danida).

The findings in this report are those of the authors but do not necessarily reflect the views of the UNCAC Coalition and the donors who have made this report possible.

Every effort has been made to verify the accuracy of the information contained in this report. All information was believed to be correct as of date.

The authors of this report are names from your organization. The report was reviewed by names from the UNCAC Coalition.

**Name of your organization**

Address of your organization

Website: Link to organization website

Links to any social media profiles (Twitter, Facebook, LinkedIn, YouTube, Instagram)

|  |
| --- |
| Insert 2-3 sentences about your organization. |

# Table of Contents

# *Insert a clickable table of contents here.*

# Abbreviations

# *Insert a list of abbreviations used throughout the report here, in alphabetical order.*

|  |  |
| --- | --- |
| UNCAC | United Nations Convention against Corruption |
| UNODC | United Nations Office on Drugs and Crime |
| … |  |
| … |  |

# List of Persons Consulted

*Please list of all persons consulted as well as their affiliation, except for cases where they wish to remain anonymous, and the date of the interview.* *Please also reference any written contributions you obtained and add a few sentences on the freedom of information requests you sent, referring to the annex, where you will include a table with more detailed information on the requests.*

|  |  |  |  |
| --- | --- | --- | --- |
| **Name** | **Job title** | **Affiliation** | **Date of interview** |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

# I. Introduction

* *(approx. 1-2 pages in total)*

*Please use the following structure:*

Country name signed the United Nations Convention against Corruption (UNCAC) on date and ratified it on date.

This report reviews country’s implementation of selected articles of Chapter II (Preventive measures) and Chapter V (Asset recovery) of the UNCAC. The report is intended as a contribution to the UNCAC implementation review process currently underway covering these chapters. Country was selected by the UNCAC Implementation Review Group in date by a drawing of lots for review in the first/second/third/fourth/fifth year of the first/second cycle. A draft of this parallel report was provided to the government of country (please delete if not).

**1.1 Scope.** The UNCAC articles and topics that receive particular attention in this report are those covering preventive anti-corruption policies and practices (Article 5), preventive anti-corruption bodies (Article 6), public sector employment (Article 7.1), political financing (Article 7.3), codes of conduct, conflicts of interest and asset declarations (Articles 7, 8 and 12), reporting mechanisms and whistleblower protection (Articles 8.4 and 13.2), public procurement (Article 9.1), the management of public finances (Article 9), access to information and the participation of society (Articles 10 and 13.1), judiciary and prosecution service (Article 11), private sector transparency (Article 12), and measures to prevent money laundering (Art. 14) under Chapter II *(adapt as appropriate)*. Under Chapter V, the UNCAC articles and topics that receive particular attention in this report are those covering anti-money laundering (Articles 52 and 58), measures for direct recovery of property (Articles 53 and 56), confiscation tools (Article 54), international cooperation for the purpose of confiscation (Articles 51, 54, 55, 56 and 59) and the return and disposal of confiscated property (Article 57) *(adapt as appropriate).*

**1.2 Structure.** The report begins with an executive summary, including the condensed findings, conclusions and recommendations about the review process, the availability of information, as well as the implementation and enforcement of selected UNCAC articles. The following part covers the findings of the review process in Country as well as access to information issues in more detail. Subsequently, the implementation of the Convention is reviewed and examples of good practices and deficiencies are provided. Then, recent developments are discussed and lastly, recommendations for priority actions to improve the implementation of the UNCAC are given.

**1.3 Methodology.**The report was prepared by name of your CSO or network with technical and financial support from the UNCAC Coalition and name of other donors (if any). The group made efforts to obtain information for the reports from government offices and to engage in dialogue with government officials. As part of this dialogue, a draft of the report was made available to them (please delete if not).

The report was prepared using guidelines and a report template designed by the UNCAC Coalition and Transparency International for use by civil society organizations. These tools reflected but simplified the United Nations Office on Drugs and Crime (UNODC)’s checklist and called for relatively short assessments as compared to the detailed official self-assessment checklist. The report template included a set of questions about the review process and, in the section on implementation, asked for examples of good practice and areas in need of improvement in articles of UNCAC Chapter II on prevention and Chapter V on asset recovery.

Any additional information you would like to include, please insert here.

In preparing this report, the authors took into account the recent review of Country carried out by refer to other review mechanisms (if applicable).

# 

# II. Executive Summary

* *(approx. 2,500-3,500 words in total, excluding the tables)*

*Include an introductory sentence on what this report is about.*

### 

### 2.1 Description of the Official Review Process

* *(1 paragraph)*

*Include here a brief explanation of the information you were able to obtain on the UNCAC review process in your country, or lack thereof.*

### 2.2 Availability of Information

### *(1 paragraph)*

*Include here, in brief, a description of the research process, how you went about gathering information, collecting input, and setting up interviews*. *Please provide a short overall assessment of the availability of information required for the report, and a quick description of which institutions were approached to get the information. Indicate whether requests were made under access to information legislation. Please note that there is a section on ‘Access to Information’ further below, where you can provide more details.*

### 

### 2.3 Implementation in Law and in Practice

### *(1.5-2 pages)*

*Include here a summary of each policy area covered in the report and the main findings of laws, policies, and* ***their application and enforcement******in practice****, including significant deficiencies and good practices. The structure of the executive summary should follow the structure of the report itself as much as possible. Please bear in mind that while the whole report will be published, only the executive summary can be submitted to the Conference of the States Parties (CoSP) as an official contribution to the review process.*

*Insert and fill out the table accordingly.*

**Table 1: Implementation and enforcement summary**

|  |  |  |
| --- | --- | --- |
| **UNCAC articles** | **Status of implementation in law** | **Status of implementation and enforcement in practice** |
| **Art. 5** – Preventive anti-corruption policies and practices | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 6** – Preventive anti-corruption body or bodies | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 7.1** – Public sector employment | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 7.3** – Political financing | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 7, 8 and 12** – Codes of conduct, conflicts of interest and asset declarations | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 8.4 and 13.2** – Reporting mechanism and whistleblower protection | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 9.1** – Public procurement | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 9.2** – Management of public finances | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 10 and 13.1** – Access to information and the participation of society | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 11** – Judiciary and prosecution services | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 12** – Private sector transparency | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 14** – Measures to prevent money-laundering | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 52 and 58** – Anti-money laundering | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 53 and 56** – Measures for direct recovery of property | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 54** – Confiscation tools | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 51, 54, 55, 56 and 59** –  International cooperation for the purpose of confiscation | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |
| **Art. 57** – The return and disposal of confiscated property | fully implemented/ largely implemented/ partially implemented/ not implemented | good / moderate / poor |

*Insert and fill out the table accordingly and then briefly elaborate on the performance of key institutions involved in implementing the provisions covered by this report.*

**Table 2: Performance of selected key institutions**

|  |  |  |
| --- | --- | --- |
| **Name of institution** | **Performance in relation to responsibilities**  **covered by the report** | **Brief comment on performance**  ***(for example, inadequate resources, lack of independence, strong expertise)*** |
| E.g., Anti-Corruption Agency | good / moderate / poor |  |
| E.g., Central Procurement Authority | good / moderate / poor |  |
| E.g., Information Commission | good / moderate / poor |  |
| E.g., Financial Intelligence Unit | good / moderate / poor |  |
| E.g., Financial Markets Regulator | good / moderate / poor |  |
| E.g., Asset Recovery Body/Bodies | good / moderate / poor |  |
|  | good / moderate / poor |  |
|  | good / moderate / poor |  |
|  | good / moderate / poor |  |

### 2.4 Recommendations for Priority Actions

* *(0.5-1 pages)*

*Include* ***only*** *key recommendations, starting with a verb (implement/ensure/adopt/…), for example:*

1. *Improve government interaction with CSOs in review process by ….*
2. *Ensure the adoption of an access to information law to allow for…*
3. *Promote implementation into law …*
4. *Apply law X in practice…*
5. *…*
6. *…*
7. *…*
8. *…*
9. *…*
10. *…*

# 

# III. Assessment of Review Process for Country

* *(approx. 1-2 pages in total)*

*This section asks for an assessment of the transparency of the government’s UNCAC review process. Include a brief explanation of the information you were able to obtain on the UNCAC review process in your country or lack thereof (who you consulted, how you reached to them…).*

### 

### 3.1 Report on the Review Process

*Please complete Table 3 below, providing details of the transparency, country visit and civil society participation in your government’s UNCAC review process.*

*The further along your country is in the review process, the more information can be included under this chapter of the report. The review process may extend over a period of as much as one year with a possible country visit only six months or more after the start of the process (this timeline is exceeded in many countries). Thus, depending on when a CSO starts to work on this report, it may or may not be possible to complete this table for some time. In this case, please write “not yet” or “not available”.*

*Concerning the publication of contact information of the focal point, if this was done, please indicate whether this happened online or by other means.*

*With regard to the questions about civil society consultation on the self-assessment and civil society input to the official reviewers, please reference in the comments section whether the invitation was a broad one or whether only a select group was invited and, if possible, how this selection was made.*

**Table 3: Transparency of the government and CSO participation in the UNCAC review process**

|  |  |  |
| --- | --- | --- |
| Did the government disclose information about the country focal point? | yes/ no/ not yet/ not available | *Comments* |
| Was the review schedule published somewhere/publicly known? | yes/ no/ not yet/ not available | *Comments*  *- please indicate whether the review was conducted on time, or whether the government published updates about the schedule[[3]](#footnote-3)* |
| Was civil society consulted in the preparation of the self-assessment checklist? | yes/ no/ not yet/ not available | *If yes, who was consulted? (Please double click on the box to tick)*  Anti-corruption and access to information CSOs  CSOs working on other issues  Academia  Trade unions  Other (please list)  *Comments* |
| Was the self-assessment checklist published online or provided to civil society? | yes/ no/ not yet/ not available | *Comments*  *- please include a link, if applicable, and the date of publication or information about when/how the self-assessment was provided* |
| Did the government agree to a country visit? | yes/ no/ not yet/ not available | *Comments* |
| Was a country visit undertaken? | yes/ no/ not yet/ not available | *Comments*  *- please include information on when the visit took place* |
| Was civil society invited to provide input to the official reviewers? | yes/ no/ not yet/ not available | *If yes, who was invited? (Please double click on the box to tick)*  Anti-corruption and access to information CSOs  CSOs working on other issues  Academia  Trade unions  Other (please list)  *Comments*  *- please list the organizations invited*  *- please indicate the form of input requested* |
| Was the private sector invited to provide input to the official reviewers? | yes/ no/ not yet/ not available | *Comments*  *- please list the firms/organizations invited*  *- please enter the form of input requested* |
| Has the government  committed to publishing the full country report? | yes/ no/ not yet/ not available | *Comments*  *- please indicate if published by UNODC and/or country and enter a link if applicable* |

### 

### 3.2 Access to Information

*This section should reflect on the ease with which the public can access information concerning the implementation of the UNCAC at the national level, in particular whether there is any access to information legislation facilitating this process.*

*Please provide comments on the availability of information (e.g., statistics, details of prosecutions and judgments) for preparing this report. For example:*

1. *Please describe the steps that the author of the report took in order to obtain the information required to prepare the report: which government entities or other bodies did the author contact? Is there a specific government body that deals with anti-corruption policy? If so: when was it created, what are its objectives and achievements?*
2. *Did the expert try to make one or several formal access to information requests based on access to information or other legislation? If so, please include a general summary or short table of which bodies were contacted and if responses were answered fully/partially/not at all. Then reference and include a more detailed table on the requests made in the annex.*
3. *Which important information and documents were made available online by state bodies, which essential information relevant to preventing and combating corruption was not available online?*
4. *Did the author of the report rely on other sources to obtain relevant information (e.g., media, civil society reports)? Please specify.*
5. *What obstacles did the author encounter in obtaining the necessary information? Did the obstacles relate to a lack of government compilation, legal barriers or simple government unwillingness to allow access to the information required?*

*Note that access to information may vary according to different categories of information. It may be relatively easy to access copies of laws and regulations, although in some countries this may not be the case. It is often more difficult to obtain statistical information about application and enforcement, although in some countries this is published online. It is frequently most difficult to obtain details about any cases currently prosecuted or concluded, if relevant to your report. These categories of information should be distinguished in the response to this section.*

# IV. Assessment of Implementation of Chapter II and Chapter V Provisions

# *(approx. 20-40 pages[[4]](#footnote-4))*

### This chapter analyzes the implementation of the provisions of UNCAC Chapter II on preventive measures and Chapter V on asset recovery in Country through the application of laws, regulations and practices and highlights both good practices and areas for improvement.

*Articles do not have to be analyzed one by one - several articles within the same policy area can be jointly analyzed as was done in the guidance materials provided to you. For each article/policy area, please provide a short description of relevant laws (including references to the date when the law was promulgated and specific articles/provisions currently being discussed), policies, mechanisms and practices in place, as well as of the institutions involved in monitoring, enforcement and sanctioning in the respective area. Following this, please analyze the application of these measures in practice, referring to prominent corruption cases in your country, with reference to official reports, statistics, or reliable media reports, where available. Detailed statistics and descriptions of cases can also be included in the annex.*

*Please refer to the sourcing and referencing guidelines at the end of this document.*

***Under every article/policy area, briefly summarize good practices and deficiencies in bullet points:***

***Good practices***

*Highlight relevant good practices in implementing articles of the Convention in law and in practice, where they exist – if there are no good practices in relation to one of the articles listed below, you may skip this section in the particular article.*

*This section should include references to laws, policies and mechanisms that are particularly thorough in implementing the UNCAC or examples of particularly effective application in practice. It should reference all the items in Table 1 above where an UNCAC article is listed as “fully implemented” and where application is “good”.*

***Deficiencies***

*Highlight deficiencies in laws, policies, mechanisms and their implementation and enforcement in practice. If there are no apparent deficiencies in relation to one of the articles listed below, you may skip this section in the particular article.*

*This section should cover all the items from Table 1 that are categorized as “not implemented” into law or as having “poor” enforcement in practice. In addition, it should include the worst cases where an article is implemented only “in part” or “moderately” enforced.*

*Please only fill out the articles/policy areas you are covering in this report. Fields left blank will be deleted by the UNCAC Coalition.*

*Please refer to the sourcing and referencing guidelines at the end of this document.*

* 1. **Chapter II**
     1. **Art. 5 – Preventive Anti-Corruption Policies and Practices**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.2 Art. 6 – Preventive Anti-Corruption Body or Bodies**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.3 Art. 7.1 – Public Sector Employment**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.4 Art. 7.3 – Political Financing**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.5 Art. 7, 8 and 12 – Codes of Conduct, Conflicts of Interest and Asset Declarations**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.6 Art. 8.4 and 13.2 – Reporting Mechanisms and Whistleblower Protection**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.7 Art. 9.1 – Public Procurement**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.8 Art. 9.2 – Management of Public Finances**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.9 Art. 10 and 13.1 – Access to Information and the Participation of Society**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.10 Art. 11 – Judiciary and Prosecution Services**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.11 Art. 12 – Private Sector Transparency**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.1.12 Art. 14 – Measures to Prevent Money-Laundering**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

* 1. **Chapter V**

**4.2.1 Art. 52 and 58 – Anti-Money Laundering**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.2.2 Art. 53 and 56 – Measures for Direct Recovery of Property**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.2.3 Art. 54 – Confiscation Tools**

*Main analysis/implementation of UNCAC article*

**Good practices**

* **…**
* **…**

**Deficiencies**

* …
* …

**4.2.4 Art. 51, 54, 55, 56 and 59 – International Cooperation for the Purpose of Confiscation**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.2.5 Art. 57 – The Return and Disposal of Confiscated Property**

*Main analysis/implementation of UNCAC article*

**Good practices**

* …
* …

**Deficiencies**

* …
* …

**4.3 Statistics[[5]](#footnote-5)**

*Please provide figures according to the tables provided below for the three most recent years where data is available (broken down by year). Indicate in the footnotes and in the bibliography all sources of information, including website links where available. If no data is available, then please write “n/a” in the box and provide at the end of the table (cf. analysis) a brief explanation of efforts undertaken to contact responsible authorities and the responses received. At the end of the table, provide a short analysis of the data and what it says about enforcement in the country.*

**Money Laundering**

|  |  |  |  |
| --- | --- | --- | --- |
| **Reporting/Intelligence Phase** | **Year:** | **Year:** | **Year:** |
| Number of Suspicious Transaction Reports (STRs) filed by each category of obliged entities:   * Banks and financial institutions * Non-financial businesses and professions (NFBPs) |  |  |  |
| Number of postponement orders adopted on reported transactions |  |  |  |
| Number of money laundering investigations carried out independently by law enforcement agencies (without a prior STR) |  |  |  |
| Number of suspicious cash activities at the border reported to the FIU (including those based on declarations and smuggling) |  |  |  |
| Number of STRs sent to law enforcement and on which further analysis was made |  |  |  |
| Number of staff dedicated full-time (or full-time equivalent) to money laundering in the FIU |  |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **Investigation Phase** | **Year:** | **Year:** | **Year:** |
| Number of cases initiated by law enforcement agencies on the basis of STRs sent by the FIU |  |  |  |
| Number of staff dedicated full-time (or full-time equivalent) to money laundering in law enforcement agencies |  |  |  |
| Number of cases brought to prosecution: originating from STRs, CTRs and independent law enforcement investigations |  |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **Judicial Phase** | **Year:** | **Year:** | **Year:** |
| Number of staff dedicated full-time (or full-time equivalent) to investigating money laundering in the judiciary |  |  |  |
| Number of persons/legal entities convicted for money laundering offences |  |  |  |
| Number of convictions for laundering proceeds of crimes committed abroad |  |  |  |
| Number of convictions for crimes other than money laundering originating from STRs |  |  |  |
| Number of sentences by type for money laundering offences |  |  |  |
| Number of unsuspended custodial sentences by length (as principal offence, as predicate offence) |  |  |  |

**Asset Recovery**

|  |  |  |  |
| --- | --- | --- | --- |
| **Judicial Phase** | **Year:** | **Year:** | **Year:** |
| Number of freezing procedures (based on a court order) |  |  |  |
| Number of confiscation procedures |  |  |  |
| Number of requests received for freezing orders from another country |  |  |  |
| Value of frozen assets |  |  |  |
| Number of requests received for confiscation orders from another country |  |  |  |
| Value of confiscated assets |  |  |  |
| Amounts recovered from assets |  |  |  |
| Amounts returned |  |  |  |

**4.4 Short analysis:**

* *(approx. 0.5-1 page)*

*Please provide a brief analysis of the data and what it says about enforcement in the country. Please also briefly describe the efforts undertaken to contact responsible authorities and the responses received.*

**4.5 Information on asset recovery cases**

*Please list and provide here a short description of ongoing and past asset recovery cases in your country since the UNCAC came into force (December 2005), some of which can be found in the StAR Asset Recovery Watch Database.[[6]](#footnote-6)*

*For each case, please provide the following information:*

* *Name, parties involved and subject matter of proceeding*
* *Type (criminal, civil, administrative) and origin of proceedings (e.g., MLA, media report, NGO complaint etc.)*
* *Current status of the proceedings (including expected trial date or appeal date) and amount frozen/seized/confiscated/returned)*
* *Problems encountered*

*If there are many cases, please select the 5 most important ones. Please indicate in footnotes and in the bibliography all sources of information, including website links where available and the date it was accessed.*

# V. Recent Developments

* *(approx. 2-3 pages in total)*

*Please describe recent developments in the areas covered in this parallel report or any other areas that you feel are relevant to the implementation of Chapter II and Chapter V of the UNCAC, e.g., new legislation, new bills and institutional changes in the last three years, as well as legal or institutional reforms that are in the process of being adopted and implemented at the time of writing. You may also include an analysis of whether relevant recommendations that were given to your country in the first and/or second cycle have been implemented.*

*Please also briefly include recent development regarding the commitments made by your country at the Summit for Democracy, held in December 2021 (see the official interventions,[[7]](#footnote-7) and the written commitments made[[8]](#footnote-8)).*

# VI. Recommendations

⇒ *(approx. 1-2 pages in total)*

*Please set out your recommendations for priority actions to improve the implementation of the UNCAC in your country, as appropriate starting with a verb (implement/ensure/adopt/…):*



…

# 

# VII. Annex

### 7.1 Data and Case Information

*Please provide, where available, relevant statistical data or figures for the past three years for each article covered – you can also include links to relevant platforms and mechanisms. Please provide, if available, information on major or otherwise relevant cases for each article covered. This could include:*

* *examples of access to information requests that resulted in the release of information and documents that you deem helpful in informing discussions about anti-corruption, transparency and accountability (if such cases exist);*
* *additional examples concerning the pro-active publication of information in an effective manner that contributes to transparency in the public administration (e.g., the disclosure of information concerning the budget and its implementation, the release of procurement information and government contracts, asset and interest declarations of public officials, details on political financing, conflict of interest provisions and enforcement).*
* *cases documenting that the provisions were effectively implemented and/or cases that highlight gaps in the anti-money laundering and asset recovery framework and its enforcement.*

*Also consider including cases documenting that the provisions were effectively implemented and/or cases that highlight gaps in the corruption prevention framework and its enforcement.*

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### 7.2 Table on Freedom of information requests

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Identification number** | **Institution** | **Date of request** | **Date of answer** | **Information requested** | **Information provided** |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

### 7.3 Bibliography

***Please provide references for all sources used for this report both in footnotes and at the end of the document in a bibliography. In the bibliography, they should be in alphabetical order.***

*For referencing these sources of information, please use the following recommendations as a guidance:*

* *If data and information are available online, provide the name of the page/institution, the month and date of publication, the full title, as well as link to the website, and the date accessed.*
* *Where data are available in both English and the national language(s), please provide the English source and its link to the website as well.*
* *When citing the researcher's own interviews, we suggest agreeing with the interviewee beforehand how and with which title the interviewee is referenced (e.g., District Court Judge or Senior Official, Department of Justice). Please also include information on how (via email, telephone, in-person), where and when the interview was conducted. You could consider drafting a consent form that clearly states how you will use the information obtained from an interviewee. This form should be signed by all persons you conduct interviews with.*
* *Where an interviewee wishes to remain anonymous, citations should give relevant information about the interviewee, the place and date of the interview – the absence of name should be explained. Example: Interview of a District Court Judge with the author, Colombo, 8 December 2019 (name withheld by request).*
* *Legal concepts and terms should be provided in the footnotes in the national language next to the English translation.*

***The first time you use a source in a footnote, please include all available information, as follows:***

1*Name of author/organization/media outlet* (*month year of publication*), *Title*, *Subtitle*, [link](https://uncaccoalition.org/uncac-review/cso-review-reports/), *access date*, *page/article number*.

***Any subsequent time you use this source in a footnote, you can use a short version of it that only includes, as follows:***

2 See*name of the* author/organization/media outlet (*year*), *Main title*, pa*ge/article number*.

*Exception: For laws and decrees, it is sufficient for you to include a link to the law and access date in the footnote.*

*Where available, please also use relevant statistical data or figures for the past three years for each Article covered[[9]](#footnote-9) – you can also include links to relevant platforms and mechanisms. Please also provide, if available, information on major or otherwise relevant cases for each Article/topic covered. You could also consider including cases documenting that the provisions were effectively implemented and/or cases that highlight gaps in the corruption prevention framework and its enforcement.*

### Other

*Please feel free to add any other relevant information that is missing here.*

1. For further information, also see: <https://www.unodc.org/unodc/en/corruption/implementation-review-mechanism.html>. [↑](#footnote-ref-1)
2. <https://www.unodc.org/unodc/en/corruption/country-profile/index.html>. [↑](#footnote-ref-2)
3. The review is expected to be done within six months, however, this timeline is often exceeded. [↑](#footnote-ref-3)
4. The entire report should be approximately 60 pages. Details on appropriate length can be discussed with the UNCAC Coalition Hub team. [↑](#footnote-ref-4)
5. The tables below follow the format in this Eurostat report: <http://ec.europa.eu/eurostat/documents/3888793/5856465/KS-TC-13-007-EN.PDF/69cde077-3bd9-4d0d-8c19-a6fe3608c2cd>. [↑](#footnote-ref-5)
6. StAR Initiative: StAR Asset Recovery Watch, <https://star.worldbank.org/asset-recovery-watch-database>. [↑](#footnote-ref-6)
7. Official interventions of participating delegations at the Summit for Democracy, <https://www.state.gov/official-interventions-the-summit-for-democracy/> [↑](#footnote-ref-7)
8. Written commitments by states at the Summit for Democracy, <https://www.state.gov/written-commitments-the-summit-for-democracy/> [↑](#footnote-ref-8)
9. If you consider a longer time period to be relevant, then feel free to include additional data. [↑](#footnote-ref-9)