



# CSO PARTICIPATION & TRANSPARENCY IN THE UNCAC REVIEW PROCESS

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## TRANSPARENCY



### WHY CSO INVOLVEMENT IN UNCAC REVIEW?

- **Enhance** accuracy of assessment
- **Help public awareness-raising** about UNCAC obligations
- **Communicate expectations** about performance



## AREAS FOR NGO INVOLVEMENT



### CSO INVOLVEMENT IN UNCAC REVIEW

#### Process issues

- Transparency
- CSO participation



#### Content issues

- Access to information
- Implementation of laws and regulations
- Enforcement of laws and regulations

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## NATIONAL-LEVEL STAGES & ENTRY POINTS



### FOUR KEY STAGES AND ENTRY POINTS FOR CSO

Self-assessment (input and publish)



Country visit or otherwise review team assessment and CSO input



Publication of the review report



#### **FOLLOW-UP TO REVIEW REPORT**

- Transparency & participation are optional
- A proxy measure of the situation in the country
- How the process runs in your country contributes to overall precedents about the review process

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## RAISING ISSUES AT THE NATIONAL LEVEL

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### STAGE ONE

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- **Government appointment of focal point**
- **Government self-assessment**

#### Optimal results

- Access to focal point
- Consultation on self-assessment
- Prompt publication of self-assessment

#### Recommended actions for CSOs

- Inform government that you will publish a report on process
- Advocate access, consultation, prompt publication
- Prepare inputs for self-assessment phase

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## STAGE TWO



### ➤ Peer review and dialogue phase

#### Optimal results

- Country visit
- CSO & other stakeholder inputs to review team

#### Recommended actions for CSOs

- Provide information on other governments that allow country visits and CSO inputs, including in other review systems
- Prepare report on implementation and submit to government & review team

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## STAGE THREE



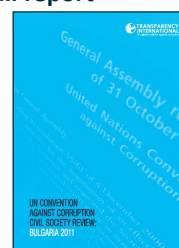
### ➤ Publication of results of review: executive summary or full report

#### Optimal results

- Publication of full report


#### Recommended actions for CSOs

- Prepare a CSO report on the review process and on country compliance with UNCAC and publish it online
- Prepare CSO analysis of published review results



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## TRACKING PROCESS ISSUES



Transparency of the Government's Undertaking of the Review Process		
Did the government disclose information about the country focal point?	Yes/ No	Comments
Is the review schedule known?	Yes/ No	Comments Please indicate whether the review is on time
Was civil society consulted in the preparation of the self-assessment?	Yes/ No If yes, who? (please tick) Women's groups Access to information groups Trade unions Academic networks Anti-corruption groups Other (please list)	Comments • Please list the organisations consulted • Please indicate date of consultation
Was the self-assessment published on line or provided to civil society?	Yes/ No	Comments Please enter link if applicable and date of publication or information about when/how the self-assessment was provided
Did the government agree to a country visit by the review team?	Yes/ No	Comments Please indicate date of the visit
Was a country visit undertaken?	Yes/ No	Comments
Was civil society invited to provide input to the official reviewers?	Yes/ No	Comments • Please list the organisations invited • Please enter the form of input invited
Was the private sector invited to provide input to the official reviewers?	Yes/ No	Comments • Please list the firms/organisations invited • Please enter the form of input invited
Has the government committed to publishing the full country report	Yes/ No/ Unknown	Comments Please indicate if published by UNODC and/or country and enter a link if applicable.

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## COMMENTING ON REVIEW RESULTS



- **Quality of information and access to information**
- **Report findings on legal framework and on system for enforcing or applying rules and policies**
  - Statistics and cases
  - Resourcing, independence and skills of responsible agencies
  - Other institutional issues
- **Other institutional issues**
- **Technical assistance needs**



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## STAGE FOUR



### ➤ Follow-up on review recommendations

#### Optimal results

- Government develops action plan in consultation with stakeholders; if follow-up committee, include CSOs
- Technical & financial assistance is provided

#### Recommended actions for CSOs

- Advocate for and contribute to action plan & monitor
- Advocate for transparent technical and financial assistance
- Provide technical assistance

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## RAISING ISSUES AT THE INTERNATIONAL LEVEL



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## INTERNATIONAL-LEVEL ENTRY POINTS FOR UNCAC FOLLOW-UP



### ➤ Several international UNCAC bodies

- Conference of States Parties: every 2 years
- Implementation Review Group: 2 x year, briefing
- Working Group on Asset Recovery: 1 x year
- Working Group on Prevention: 1 x year



### ➤ Observer status: two types of communication

- Written submissions
- Oral statements
- Dispute about status in subsidiary bodies



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## INTERNATIONAL-LEVEL ENTRY POINTS FOR UNCAC FOLLOW-UP



### ➤ Regional forums?

### ➤ Other international forums?

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## RAISE ISSUES REQUIRING ATTENTION



- Make oral statements to COSP. Also to IRG & Working Groups?
- Make written submissions to COSP. Also to IRG and Working Groups?

### Restrictions on:

- Attendance
- Written submissions

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## CONTRIBUTE TO AGGREGATED RESULTS



- TI Overview Report for first 3 years submitted to Implementation Review Group (IRG) meeting. *Process and substance issues.*
- **83** countries surveyed—Coalition NGOs
- **Focal point public: 43** (+ 8 on request or indirectly)
- **Review schedule known: 29**
- **Consultation in self-assessment: 28**
- **Self-assessment published: 23** (+ 2 provided to CSO, + 4 planned)
- **Onsite visit (known to CSOs): 62**
- **CSO inputs to review team: 44**
- **Private sector inputs to review team: 23**
- **Full report published: 11** (+ 8 planned)


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# IN SUM

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## OPTIONS FOR RAISING ISSUES: PROCESS AND SUBSTANCE

**When?**


- During review process
- After review process

**Where?**

- National level: To Government and to wider public
- International level: To COSP, to Implementation Review Group, to Working Groups. Also to other forums.


**How?**

- Be active! Advocate for the right review process
- Monitor ! Check implementation and contribute to review
- Join forces! National and international coalitions



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# JOIN THE UNCAC COALITION





- For organisations and individuals
- Membership mailing list
- Quarterly Newsletter
- Website, Facebook, Twitter
- Joint advocacy actions
- Mutual support
- Information sharing
- Coordination committee
- International Secretariat

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