

# NGO BRIEFING DAY

## UN Convention Against Corruption

**Thursday 22 June, 10.00 - 18.00**

UN Vienna Convention Centre  
Building C, Conference Room 3C

**Asset Recovery**  
**Money Laundering**  
**Monitoring UNCAC**

*Including speakers from:*  
**UNCAC Civil Society Coalition**  
**OECD Working Group on Bribery**  
**Open Government Partnership**  
**Transparency International**  
**UN Office on Drugs & Crime**  
**Governments of Nigeria,**  
**Switzerland, Peru, United Kingdom**

The **UNCAC Coalition** is the sole global movement uniting civil society actors from all backgrounds for action against corruption at international, regional and national levels.

It involves 350 civil society organisations and individuals in 111 countries on all continents and is committed to promoting the ratification, implementation and monitoring of the UN Convention against Corruption (UNCAC).

For more, see [www.uncaccoalition.org](http://www.uncaccoalition.org)

## AGENDA

10.00 - 11.00	<p><b>Opening</b>  <b>UNODC presentation and NGO questions</b>  Chair: <b>Andres Lamoliatte, Vice President, COSP</b></p>
11.00 - 11.30	<p><b>NGO statements and plenary questions</b>  Chair: <b>Andres Lamoliatte, Vice President, COSP</b></p>
11.30 - 13.00	<p><b>Panel 1 - Realising potential: Taking the Review Mechanism to the next level</b></p> <p><i>Transparency, inclusivity and effective follow up on recommendations are among the key hallmarks of a successful review mechanism. This session will draw on country-level experiences, a study on international standards, as well as lessons learnt from other international review mechanisms, to explore how the UNCAC IRM can realise its full potential, particularly through openness and civil society participation. This discussion is of particular import given the current 2nd review cycle and its focus on the access to information and participation of society commitments.</i></p> <p>Moderator: Juanita Olaya, <b>UNCAC Coalition</b></p> <ul style="list-style-type: none"> <li>• Gillian Dell, <b>Transparency International</b></li> <li>• Drago Kos, <b>OECD Working Group on Bribery</b></li> <li>• Veronica Cretu, <b>Open Government Partnership</b></li> <li>• Francisco Távara, <b>Supreme Court of Justice of Peru</b></li> <li>• David Banisar, <b>Article 19</b></li> </ul>
13.00 - 15.00	Lunch
15.00 - 16.30	<p><b>Panel 2 – Effective, accountable and transparent asset return: The need for guiding principles</b></p> <p><i>Resolution 6/3 of the last COSP and the Addis Ababa Action Agenda call for the development of good practice in returning assets. An important step towards that goal was the recent Addis Ababa Meeting of Experts. To support the Meeting discussions, the UNCAC Coalition identified clear principles for good practice to ensure accountable asset return. This session will provide a forum for both civil society and government representatives to engage on what good practice in the context of asset return consists of, and what further steps should be taken by the international community to ensure fair and effective outcomes.</i></p> <p>Moderator: Susan Hawley, <b>Corruption Watch</b></p> <ul style="list-style-type: none"> <li>• Cynthia Gabriel, <b>C4 Malaysia</b></li> <li>• Phil Mason, <b>UK Department for International Development</b></li> <li>• Salome Steib, <b>Swiss Agency for Development and Cooperation</b></li> <li>• Ladidi Bara'atu Mohammed, <b>Nigerian Ministry of Justice</b></li> <li>• Lilia Carasciuc, <b>Transparency International Moldova</b></li> </ul>

16.30 – 17.45

**Panel 3 - Are global standards effective in fighting money laundering?**

*Ongoing cases and staggering amounts of funds estimated to be laundered worldwide call into question the effectiveness of the international anti-money laundering framework and related monitoring processes. This session will explore the key dynamics and weaknesses of the current system, and focus on how CSOs can work with their governments to strengthen mechanisms, both through the current instruments and new initiatives.*

Moderator: Bettina Hörtner, **Transparency International Austria**

- Laure Brillaud, **Transparency International EU**
- Pierre Kanaan, **Central Bank of Lebanon** (*invited*)
- Christine Clough, **Global Financial Integrity**
- Tanja Santucci, **UN Office on Drugs & Crime**

17.45 – 18.00

**NGO statements (closing)**

Chair: **Andres Lamoliatte**, Vice President, COSP

## SPEAKERS

### Panel 1: UNCAC Implementation Review



**JUANITA OLAYA** (*moderator*) - Juanita is a Colombian lawyer and current Vice-Chair of the UNCAC Coalition - a global network of more than 350 civil society organisations and individuals committed to promoting the ratification, implementation and monitoring of UNCAC. She has close to 20 years of public sector and NGO experience both at the national and international levels combined with academic and consulting work. She has worked in the areas of development, governance, anti-corruption, public and international finance, procurement, civil society participation and capacity building. Her experience spans through sectors such as infrastructure, trade and investment, extractive industries, and capital markets; including work with multiple stakeholders from the private sector, government and civil society.



**GILLIAN DELL** - Gillian is Head of the Conventions Unit at the Transparency International Secretariat in Berlin and has been active in promoting international anti-corruption conventions since the early 2000's. In 2006, she co-initiated the first meetings of the UNCAC Coalition network, then assumed the role of co-convenor and since then her unit has provided secretariat services to the network. Prior to joining TI, Gillian worked as a lawyer in a New York City law firm, as a research fellow on international trade law issues at the Freie Universität Berlin, as a consultant at the United Nations and as a law lecturer at two universities in Berlin. She has also worked with several human rights organisations. Gillian is the author of numerous articles and opinion pieces on international trade, UNCAC and foreign bribery and for nine years she co-authored the TI Progress Report on OECD Convention Enforcement (now known as The Exporting Corruption report.)



**DRAGO KOS** - Drago is the current Chair of the OECD Working Group on Bribery - the body responsible for monitoring the implementation and enforcement of the OECD Anti-Bribery Convention and its related instruments. He had also served for more than 10 years as the Chair of the Council of Europe Group of States against Corruption (GRECO) monitoring States' compliance with the body's anti-corruption standards. Drago is a member of the Advisory Board of the Regional Hub of Civil Service, Astana, Kazakhstan, and a frequent lecturer at the International Anti-Corruption Academy. He was an international commissioner in the Monitoring and Evaluation Committee in Afghanistan, the first Chairman of the Commission for the Prevention of Corruption in Slovenia, and Co-President of EPAC/EACN and Vice Chairman of the European Healthcare Fraud and Corruption Network. Drago was formerly a soccer player and UEFA/FIFA referee and is now a UEFA/FIFA referee observer.



**VERONICA CRETU** – Veronica is the President of the Open Government Institute, Moldova and a former long-standing member of the Civil Society Steering Committee of the Open Government Partnership (OGP) initiative. She is an active promoter of open government, open data, gender equality, open education, ICT4D, transparency and citizen-engagement in decision making, both nationally and internationally. Among other, she has previously worked with UN Women Moldova, GIZ, OSF, Council of Europe, and in a number of countries including Liberia, Turkey, Nepal, Ukraine, Armenia and Azerbaijan. Since early 2000, Veronica has also been actively engaged in addressing issues related to Internet Governance: during 2011-2014 she acted as a member of the Multi-stakeholder Advisory Group (MAG) set up to advise the UN Secretary General on the Internet Governance Forum (IGF).



**FRANCISCO TÁVARA** – Dr. Francisco Artemio Távara Córdova is Judge of the Supreme Court of Justice of the Republic of Peru. In his capacity, as Supreme Judge, he has served as President of the Permanent Constitutional and Social Law Chamber and as President of the Permanent Civil Chamber of the Supreme Court of Justice of the Republic. He has been President of the Judicial Branch and of the Supreme Court of Justice of the Republic (2007-2008); the President of the Academy of Magistracy (2011-2012), and the Head of the Office of Judicial Control (2002-2006). Among multiple international engagements, he has also served as a member of the Committee responsible for preparing the Draft Ibero-American Model Code of Judicial Ethics.



**DAVID BANISAR** – David is the Senior Legal Counsel at Article 19, an international NGO committed to promoting the right to freedom of opinion and expression. David has worked in the field of information policy for nearly 20 years and was previously Director of the Freedom of Information Project at Privacy International. He has authored books, studies and articles on freedom of information, freedom of expression, media policy, whistleblowing, communications security and privacy. He has also served as an advisor and consultant to numerous organisations, including the Council of Europe, the Organisation for Security and Co-operation in Europe, the UN Development Programme and the Open Society Institute. David was a research fellow at the Kennedy School of Government at Harvard University and Policy Director of the Electronic Privacy Information Center in Washington, DC.

## PANEL 2: Asset Recovery & Return



**SUSAN HAWLEY** (*moderator*) – Susan is the co-founder and Policy Director of Corruption Watch UK, an organisation investigating and fighting cases of grand corruption. Prior to starting CWUK Susan worked for six years at the Corner House on corruption-related issues, and before that, at the policy team at Christian Aid, also on corruption and ethics. She was behind the successful judicial review by the Corner House of the UK Export Credit Guarantee Department (ECGD) for weakening new anti-bribery rules following secret lobbying by defense and aerospace companies. Susan was also involved in the judicial review of the Serious Fraud Office for dropping allegations of

corruption involving BAE Systems in Saudi Arabia. Her investigative work resulted in the conviction of the first British company for overseas corruption in September 2009. Her detailed policy work has resulted in the creation and funding of an overseas corruption police unit and the inclusion of a new corporate offence with regard to overseas bribery in the recent Anti-bribery Act in the UK.



**CYNTHIA GABRIEL** - Cynthia is Director of the Centre to Combat Corruption and Cronyism (C4) in Malaysia. She has spent most of her professional life in the field of advancing and promoting human rights, good governance and democratic freedoms. Cynthia has also worked on UN contractual research work in the area of migrant and refugee research and their vulnerabilities towards HIV/ AIDS. Much of her time has been devoted to building and shaping the work of leading human rights advancements in Malaysia and across the globe. She was previously Vice President of the global advocacy group, the Paris based International Federation for Human Rights (2004-2009) and in June 2017 was awarded the US based National Endowment for Democracy's 2017 Democracy Award.



**SALOME STEIB** - Salome is a Senior Policy Advisor at the Swiss Agency for Development and Cooperation (SDC) in Switzerland, responsible for its global program for anti-corruption and asset recovery. She is directly involved in issues of asset recovery, specifically on Switzerland's cases of asset returns. Prior to joining SDC, she worked at the Swiss State Secretary for Economic Affairs (SECO) on macroeconomic issues across Africa and Eastern Europe, as a negotiator for Switzerland at the World Trade Organization (WTO), and at the European Bank for Reconstruction and Development (EBRD) in London.



**LADIDI BARA'ATU MOHAMMED** - Ladidi was called to the Nigerian Bar as Solicitor and Advocate of the Supreme Court of Nigeria in 1999. She joined the Federal Civil Service as a State Counsel in 2000, and is currently Assistant Director in the Ministry of Justice of Nigeria. She previously served in various government departments, including the Aviation Ministry and Nigerian Customs, as a Solicitor and Prosecutor. She has been in the International and Comparative Law Department of the Ministry of Justice since 2012, where she deals with policy. Ladidi serves as the focus person for the Ministry on UNCAC Implementation and the Global Forum on Asset Recovery (GFAR). She is also a Member of the Chartered Institute of Arbitrators (UK).



**PHIL MASON** - Phil has been with the UK Department for International Development for over 25 years (since 1988). He started DFID's anti-corruption work in 2000 and developed the Department's first anti-corruption efforts focusing as much on the 'supply side' – the responsibilities of developed countries – as on with work in developing countries. He has worked alongside the UK Home Office on anti-bribery legislation, the Trade ministry on practices of British companies abroad, and the Treasury on anti-money laundering and asset recovery. He helped negotiate the UN Convention Against Corruption which was agreed in 2003.



**LILIA CARASCIUC** - Lilia has been Executive Director of Transparency International Moldova since 2000. She is an economist by training, who has written widely on modelling economic processes, anti-corruption policies and private sector development. She previously worked at the Centre for Strategic Studies and Reforms, in Moldova and in several academic positions.

### PANEL 3: Money Laundering



**BETTINA HÖRTNER** (*moderator*) – Bettina is an independent attorney specializing in national and international financial market supervision and compliance. Prior to starting her own practice in 2012, she has worked for a number of years as senior in-house counsel in the banking sector, as attorney-at-law for a multinational law firm, led inspections for the national financial market supervisory body, consulted an international ‘big four’ auditing company, and represented Austria in the working groups of the European Securities and Markets Authority (formerly CESR). Bettina has several publications in the area of financial market compliance, she is a ranked Chambers Europe Lawyer 2015-2017, and a current member of Transparency International Austria.



**LAURE BRILLAUD** - Laure joined Transparency International EU in 2016 and leads the work on Anti-Money Laundering. Prior to that, she worked at the Organisation for Economic Cooperation and Development (OECD). In particular, she conducted policy analysis and outreach on corruption in the extractive sector as well as coordinated the production of OECD Perspectives on Global Development, OECD flagship on key global development challenges. Laure holds a Masters’ degree in Engineering from Mines ParisTech as well as dual Masters’ degree in International Affairs and Public Administration from Science Po Paris and Columbia University.



**PIERRE KANAAN** (*invited*) - Pierre is an Executive Director and the Head of the Legal Affairs Department at the Central Bank of Lebanon since 1985. He is the Legal Advisor to the Banking Control Commission (BCC) and the Special Investigation Commission (SIC) and is an alternate member of the SIC’s decision-making Board. Pierre is also an IMF expert on Monetary and Financial issues. He was a member of both the Lebanese delegation in the Ad Hoc Committee for the negotiation of the United Nations Convention against Corruption (UNCAC) and the committee for the negotiation with the FATF to set the general guidelines for Fighting Money Laundering in Lebanon, which led to the issuance of the Lebanese Anti-Money Laundering Law and the related circulars from the Central Bank of Lebanon. He has lectured in multiple forums and seminars and was also a lecturer in Banking and Financial Legislation and Regulation at the Business School in Saint Joseph University in Beirut from 1979 to 2003.



**CHRISTINE CLOUGH** - Christine is a Program Manager at Global Financial Integrity (GFI). Her work at GFI focuses on research projects, events, and the Policy Advisory Program; at present she also serves as GFI's Acting Communications Director. Christine has represented GFI on the UNCAC Civil Society Coalition Coordinating Committee since 2013. Prior to joining GFI, Christine was employed most recently at the U.S. Small Business Administration's Office of Advocacy, working with Congress, Federal agencies, and the White House to advocate for the needs and critical role of small business in the U.S. economy. Christine also has experience working on terrorism and homeland security at think-tanks in Washington, DC.



**TANJA SANTUCCI** – Tanja is a Crime Prevention and Criminal Justice Officer in the Corruption and Economic Crime Branch of UNODC. Her current work is focused on providing substantive and legal expertise to governments to further the implementation of the UN Convention against Corruption. Geographically, she works closely with countries in the Asia-Pacific region, Middle East and Southern Africa. She also coordinates the UNODC research, publications and knowledge products on anti-corruption and asset recovery. Tanja has over ten years of experience as an attorney advising on national and international anti-corruption and legal issues. She previously worked as an Associate General Counsel and Inspector General at the anti-corruption commission in New York City, and as a corporate attorney in New York and London.